

IDHAYA COLLEGE FOR WOMEN, KUMBAKONAM

DEPARTMENT OF MATHEMATICS



SUBJECT NAME : FUNCTIONAL ANALYSIS
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SEMESTER : IV
TOPICS COVERED: UNIT I-V [IMPORTANT QUESTION]
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IMPORTANT QUESTIONS FROM UNIT I - UNIT V

Definition 1 Let N and N' be normed linear space and let $T : N \rightarrow N'$ be a mapping with domain N and the range in N' . The graph of T is defined to be a subset of $N \times N'$ which consist of all ordered pairs $(x, T(x))$. It is denoted by GT

$$GT = \{(x, T(x)) / x \in N\}$$

Theorem 2

Statement

If B and B' are Banach spaces and if T is a linear transformation of B into B' , then T is continuous iff its graph is closed.

That is, GT is closed.

Proof

Given that B and B' are Banach space and $T : B \rightarrow B'$ is linear transformation.

Suppose GT is closed.

We have to prove T is continuous.

Let B_1 be given Banach space renamed by $\| \cdot \|$.

$$\begin{aligned} \| x \| &= \| x \| + \| T(x) \| \\ \| T(x) \| &\leq \| x \| + \| T(x) \| \\ &= \| x \| \\ \Rightarrow \| T(x) \| &\leq \| x \| \end{aligned}$$

Therefore T is bounded from B_1 to B' .

Therefore It is continuous from B_1 to B' .

Now we have to prove $T : B \rightarrow B'$ is continuous.

It is sufficient to prove B_1 and B have the same topology.

It is enough if we prove B_1 and B are homeomorphic.

Let $I : B_1 \rightarrow B$ defined by

$$\| I(x) \| = \| x \|, \forall x \in B_1$$

Therefore I is always 1-1 and onto.

$$\begin{aligned} \| I(x) \| &= \| x \| \\ &\leq \| x \| + \| T(x) \| \\ &= \| x \| \\ \Rightarrow \| I(x) \| &= \| x \| \end{aligned}$$

$\Rightarrow I$ is bounded and it is continuous .

Therefore B_1 and B are homeomorphic.

$\Rightarrow B_1, B$ are having same topology.

Therefore B_1, B are homeomorphic.

T is continuous linear transformation from $B \rightarrow B'$.

Now we have to show that B_1 or B is complete under $\| \|$.

Let $\{x_n\}$ be a cauchy sequence in B .

Given $\epsilon \geq 0$, there exists a positive integer n_0 such that

$$\begin{aligned} \| x_n - x_m \| + \| T(x_n - x_m) \| &< \epsilon, \forall n, m \geq n_0 \\ \Rightarrow \| x_n - x_m \| + \| T(x_n - x_m) \| &< \epsilon \\ &\Rightarrow \| x_n - x_m \| < \epsilon, \forall n, m \geq n_0 \text{ or} \\ \| T(x_n - x_m) \| &< \epsilon \end{aligned}$$

$\{x_n\}$ is a cauchy's sequence in B . $x_n \rightarrow x$ as $n \rightarrow \infty$

Therefore $x_n \rightarrow x$ and $T(x_n) \rightarrow T(x)$. $\|T(x_n) - T(x)\| < \epsilon$

$$\text{Therefore } \|x_n - x\| + \|T(x_n) - T(x)\| < 2\epsilon, n \geq n_0$$

$$\|x_n - x\| + \|T(x_n) - T(x)\| < 2\epsilon$$

$$\|x_n - x\| < 2\epsilon, \forall n \geq n_0$$

That is B_1 is complete.

Therefore T is continuous linear transformation from $B \rightarrow B'$

Conversely, $T : B \rightarrow B'$ is continuous.

We have to prove GT is closed.

It is sufficient to prove that $GT = \overline{GT}$.

$GT \subset \overline{GT}$ is always true —————(1)

We have to prove $\overline{GT} \subset GT$.

Let $(x, y) \in \overline{GT}$

Then there exists a sequence $(x_n, T(x_n))$ in GT such that $(x_n, T(x_n)) \rightarrow (x, y)$

$\Rightarrow x_n \rightarrow x$ and $T(x_n) \rightarrow y$

T is continuous.

Therefore $x_n \rightarrow x$ and $T(x_n) \rightarrow T(x)$ as $n \rightarrow \infty$

$\Rightarrow y = T(x)$

That is, $(x, y) = (x, T(x))$

$(x, y) \in GT$

Therefore $\overline{GT} \subset GT$ —————(1)

Therefore from (1) and (2) $GT = \overline{GT}$.

Therefore GT is closed.

Hence the theorem.

Theorem 3

State and prove Parallelogram law in a Hilbert space.

Statement

If x and y are any two vectors in a Hilbert space, then $\|x+y\|^2 + \|x-y\|^2 = 2(\|x\|^2 + \|y\|^2)$. (OR)

The sum of the squares of the sides equals the sum of the squares of its diagonals.

Proof

$$\begin{aligned}
 \|x+y\|^2 + \|x-y\|^2 &= (x+y, x+y) + (x-y, x-y) \\
 &= (x, x) + (x, y) + (y, x) + (y, y) + (x, x) - (x, y) - (y, x) + (y, y) \\
 &= 2\|x\|^2 + 2\|y\|^2 \\
 &= 2(\|x\|^2 + \|y\|^2) \\
 \|x+y\|^2 + \|x-y\|^2 &= 2(\|x\|^2 + \|y\|^2)
 \end{aligned}$$

Theorem 4

Prove that M_i 's span H .

Proof

We know that M_i 's are closed linear subspaces of H and since there are pairwise orthogonal by a known theorem.

$M = M_1 + M_2 + M_3 + \dots + M_m$ is a closed linear space of H .

Let $P_1 + P_2 + P_3 + \dots + P_m$ be its associated projections.

Since M_i reduces T , by a known theorem

$$TP_i = P_iT, \text{ for each } P_i$$

$$\text{Now, } TP = \sum TP_i = \sum P_iT = PT$$

Hence M also reduces T .

Consequently M^\perp is invariant under T .

Let if possible $M^\perp \neq (0)$.

Now, since all the eigen vector of T are in M , the restriction of T to M^\perp is an

operator on a non trivial finite dimensional Hilbert which has no eigen vectors and hence no eigen values.

By a Known result, this situation is impossible.(The set if all eigen values cannot be empty).

Hence we conclude that $M^\perp = (0)$ and $M = H$.

Thus M_i 's span H

Theorem 5

Let H be a Hilbert space. Let $y \in H$ be a fixed vector. Define a function $f_y : H \rightarrow C$ by $f_y(x) = (x, y)$, then $f_y \in H^*$. That is, f_y is a continuous linear functionals on H .

Proof

$y \in H$ is a fixed vector

$f_y : H \rightarrow C$ by

$$f_y(x) = (x, y) \forall x \in H$$

For, $\alpha, \beta \in C$ and $x_1, x_2 \in H$.

$$\begin{aligned} f_y(\alpha x_1 + \beta x_2) &= (\alpha x_1 + \beta x_2, y) \\ &= \alpha(x_1, y) + \beta(x_2, y) \\ &= \alpha f_y(x_1) + \beta f_y(x_2) \\ f_y(\alpha x_1 + \beta x_2) &= \alpha f_y(x_1) + \beta f_y(x_2) \end{aligned}$$

Therefore f_y is linear.

Further $|f_y(x)| = |(x, y)| \leq \|x\| \|y\|$

$$\|f_y\| \leq \|y\|$$

Therefore f_y is a bounded functional and hence continuous.

Therefore f_y is a continuous linear functional.

Therefore $f_y \in H^*$

Theorem 6

Prove that in any Banach algebra the multiplication is jointly continuous.

Proof

Given that A is Banach algebra.

Now, we have to prove the multiplication is jointly continuous.

It suffices to prove that, if $x_n \rightarrow x$ and $y_n \rightarrow y$, then $x_n y_n \rightarrow xy$

$\|x_n - x\| < \epsilon$ and $\|y_n - y\| < \epsilon$ for $n > n_0, \epsilon > 0$

$$\begin{aligned} \|x_n y_n - xy\| &= \|x_n y_n - x_n y + x_n y - xy\| \\ &= \|x_n(y_n - y) + y(x_n - x)\| \\ &\leq \|x_n\| \|y_n - y\| + \|y\| \|x_n - x\| \end{aligned}$$

But $x_n \rightarrow x$ and $y_n \rightarrow y$ as $n \rightarrow \infty$

Therefore $\|x_n y_n - xy\| \rightarrow 0$

Therefore $x_n y_n \rightarrow xy$

Definition 7

An element z in a Banach algebra A is called a topological divisors of zero if there exist a sequence $\{z_n\}$ in A such that $\|z_n\| = 1$ and either $z_n z \rightarrow 0$

Definition 8

The spectrum of X is denoted by $\sigma(x)$ and it is the subset of complex plane defined by

$$\sigma(x) = \{\lambda : X - \lambda I \text{ is singular}\}$$

Definition 9

Radical of A is denoted by R and it is the intersection of all its maximal ideals.

That is, $R = \bigcap \{M; M \in A\}$, where A is the set of all Maximal ideals of A .

Definition 10

The $r(x)$ is defined by $r(x) = \sup\{|\lambda| : \lambda \in \sigma(x)\}$ is called spectral radius of

x .

Definition 11

The algebra A is called semi simple if the radical consist of the zero vector alone.

Theorem 12

If I is proper closed two sided ideal in A , then quotient algebra A/I is a Banach algebra.

Proof

Given that A is a Banach algebra and I is a proper closed ideal.

$\Rightarrow A/I$ is well defined.

A/I is a linear space, for all $x \in A$

$x + I \in A/I$

$\| x + I \|$ is defined by

$$\| x + I \| = \inf\{\| x + i \|; i \in I\}$$

Stage (1)

In this stage, we have to prove A/I is a Normed linear space.

(i) $\| x + I \| = \inf\{\| x + i \|; i \in I\}$, since $x \in A$ and $i \in I, x + i \in A$.

A is normed linear space.

$$\| x + I \| \geq 0$$

Which implies $\| x + I \| = \inf\{\| x + i \|; i \in I\} \geq 0$

$$\begin{aligned} (ii) \quad \| (x + I) + (y + I) \| &= \inf\{\| (x + i_1) + (y + i_2) \|; i_1, i_2 \in I\} \\ &\leq \inf\{\| x + i_1 \| + \| y + i_2 \|; i_1, i_2 \in I\} \end{aligned}$$

$$\therefore \| (x + I) + (y + I) \| \leq \inf\{\| (x + i_1) \|; i_1 \in I\} + \inf\{\| (y + i_2) \|; i_2 \in I\}$$

$$\Rightarrow \| (x + I) \| + \| (y + I) \| \leq \| x + I \| + \| y + I \|$$

$$\begin{aligned}
(iii) \quad \| \alpha(x + I) \| &= \inf\{ \| \alpha(x + i) \|; i \in I \} \\
&= \inf\{ \| \alpha x + i \|; i \in I \} \\
&= \inf\{ | \alpha | \| x + i \|; i \in I \} \\
&= | \alpha | \inf\{ \| x + i \|; i \in I \} \\
&= | \alpha | \| x + I \| \\
\| \alpha(x + I) \| &= | \alpha | \| x + I \|
\end{aligned}$$

Stage (2)

Now, in this stage, we have to prove A/I is a Banach space.

Let $\{x_n + I\}$ is a Cauchy sequence in A/I , $x_n \in \forall \forall n$

Given $\epsilon > 0$, there exist $n \geq n_0$ such that

$$\begin{aligned}
\| (x_n + I) - (x_m) \| &< \epsilon \\
\Rightarrow \inf\{ \| (x_n + I) - (x_m + I) \|; i \in I \} &< \epsilon \\
\Rightarrow \inf\{ \| (x_n - x_m) + I \|; i \in I \} &< \epsilon \\
\Rightarrow \| x_n - x_m \| &< \epsilon \forall n, m \geq n_0
\end{aligned}$$

Since A is complete, $x_n \rightarrow x$ as $n \rightarrow \infty$

$$\inf\{ \| (x_n - x + i) \|; i \in I \} < \epsilon \forall n \geq n_0$$

$$\inf\{ \| (x_n + i) - (x + i) \|; i \in I \} < \epsilon$$

$$\| (x_n + I) - (x + I) \| < \epsilon$$

$$\Rightarrow \| (x_n + I) - (x + I) \| \rightarrow 0 \text{ as } n \rightarrow \infty$$

$$\{x_n + I\} \rightarrow \{x + I\}$$

Therefore A/I is complete.

Which implies A/I is a Banach space.

Stage (3)

In this stage, we have to prove A/I is an algebra.

Let $(x + I), (y + I) \in A/I$

Now, $(x + I)(y + I) = xy + I$

$$\begin{aligned}
 \|(x + I)(y + I)\| &= \|xy + I\| \\
 &= \inf\{\|xy + i\|, i \in I\} \\
 &= \inf\{\|(x + i_1)(y + i_2)\|, i_1, i_2 \in I\} \\
 &\leq \inf\{\|x + i_1\|, i_1 \in I\} \inf\{\|y + i_2\|, i_2 \in I\} \\
 &\leq \|x + I\| \|y + I\| \\
 \|(x + I)(y + I)\| &\leq \|x + I\| \|y + I\|
 \end{aligned}$$

Note that A/I is a Banach algebra with identity $e + I$.

$$\begin{aligned}
 \|e + I\| &= \inf\{\|e + i\|, i \in I\} \\
 &\leq \|e\| = 1 \\
 \|e + I\| &\leq 1 \text{ --- --- --- --- --- (1)} \\
 \|e + I\| &= \|ee + I\| \\
 &= \|(e + I)(e + I)\| \\
 &\leq \|e + I\| \|e + I\| \\
 \|e + I\| &\leq \|e + I\| \|e + I\| \\
 1 &\leq \|e + I\|
 \end{aligned}$$

$$i.e., \|e + I\| \geq 1 \text{ --- --- --- --- --- (2)}$$

From (1) and (2) $\|e + I\| = 1$

Hence from stage(1), stage(2), stage(3) A/I is a Banach Algebra.

Theorem 13

If N_1 and N_2 are normal operators on H with the property that either commutes with the adjoint of the other than $N_1 + N_2$ and N_1N_2 are normal.

Proof

N_1 and N_2 are normal operators.

$$N_1N_1^* = N_1^*N_1 \text{ ---(1)}$$

$$N_2N_2^* = N_2^*N_2 \text{ ---(2)}$$

Further it is given that

$$N_1N_2^* = N_2^*N_1$$

$$N_2N_1^* = N_1^*N_2$$

$$\begin{aligned} (N_1 + N_2)(N_1 + N_2)^* &= (N_1 + N_2)(N_1^* + N_2^*) \\ &= N_1N_1^* + N_2N_1^* + N_1N_2^* + N_2N_2^* \\ &= N_1^*N_1 + N_2^*N_1 + N_1^*N_2 + N_2^*N_2 \\ &= (N_1^* + N_2^*)(N_1 + N_2) \\ &= (N_1 + N_2)^*(N_1 + N_2) \\ (N_1 + N_2)(N_1 + N_2)^* &= (N_1 + N_2)^*(N_1 + N_2) \end{aligned}$$

Therefore $N_1 + N_2$ is a normal operator. Now,

$$\begin{aligned} (N_1N_2)(N_1N_2)^* &= (N_1N_2)(N_1^*N_2^*) \\ &= (N_1(N_2N_2^*)N_1^*) \\ &= N_1(N_2^*N_2)N_1^* \\ &= (N_1N_2^*)(N_2N_1^*) \\ &= N_2^*(N_1^*N_1)N_2 \\ &= (N_2^*N_1^*)(N_1N_2) \\ (N_1N_2)(N_1N_2)^* &= (N_2^*N_1^*)(N_1N_2) \end{aligned}$$

Therefore N_1N_2 is a normal operator.

Theorem 14

The mapping $x \rightarrow x^{-1}$ of G into G is continuous and is therefore a homomorphism of G onto itself.

Proof

Given that $x \rightarrow x^{-1}$ is a mapping from G into G .

Let $x_0 \in G$ and $\{x_n\}$ be a sequence in G such that $x \rightarrow x^{-1}$ as $n \rightarrow \infty$.

Now, we have to prove that $x \rightarrow x^{-1}$ is continuous.

It is enough if we prove $x \rightarrow x^{-1}$

$$\begin{aligned} \|x_n^{-1} - x_0^{-1}\| &= \|x_n^{-1}x_0x_0^{-1} - x_n^{-1}x_nx_0^{-1}\| \\ &= \|x_n^{-1}(x_0 - x_n)x_0^{-1}\| \\ &\leq \|x_n^{-1}\| \|x_0 - x_n\| \|x_0^{-1}\| \\ \|x_n^{-1} - x_0^{-1}\| &\leq \|x_n^{-1}\| \|x_0 - x_n\| \|x_0^{-1}\| \text{ --- (1)} \end{aligned}$$

Since $x_n \rightarrow x$.

Therefore given $\epsilon > 0$, there exists n_0 such that $\|x_n - x_0\| < \epsilon, \forall n > n_0$

We take $\epsilon = \frac{1}{2\|x_0^{-1}\|}$

$$\|x_n - x_0\| \leq \frac{1}{2\|x_0^{-1}\|} \text{ --- (2)}$$

Consider,

$$\begin{aligned} \|e - x_0^{-1}x_n\| &= \|x_0^{-1}x_0 - x_0^{-1}x_n\| \\ &= \|x_0^{-1}(x_0 - x_n)\| \\ &\leq \|x_0^{-1}\| \|x_0 - x_n\| \\ \|e - x_0^{-1}x_n\| &\leq \|x_0^{-1}\| \|x_0 - x_n\| \text{ --- (3)} \end{aligned}$$

Substitute (2) in (3), we get

$$\| e - x_0^{-1}x_n \| \leq \| x_0^{-1} \| \frac{1}{2\|x_0^{-1}\|} \leq \frac{1}{2}$$

That is, $\| e - x_0^{-1}x_n \| \leq \frac{1}{2}$

$$(x_0^{-1}x_n)^{-1} = e + \sum (e - x_0^{-1}x_n)^n$$

$$x_n^{-1}x_0 = e + \sum (e - x_0^{-1}x_n)^n$$

$$\begin{aligned} \| x_n^{-1}x_0 \| &= \| e + \sum (e - x_0^{-1}x_n)^n \| \\ &\leq \| e \| + \sum \| e - x_0^{-1}x_n \| \\ &\leq 1 + (e - x_0^{-1}x_n) + (e - x_0^{-1}x_n)^2 + \dots \\ &= \frac{1}{1 - (e - x_0^{-1}x_n)} \\ &= \frac{1}{1 - \frac{1}{2}} \\ &= \frac{1}{\frac{1}{2}} \\ &= 2 \end{aligned}$$

Therefore $\| x_n^{-1}x_0 \| \leq 2$

$$\begin{aligned} \| x_n^{-1} \| &= \| x_n^{-1}x_0x_0^{-1} \| \\ &\leq \| x_n^{-1}x_0 \| \| x_0^{-1} \| \\ \| x_n^{-1} \| &\leq 2 \| x_0^{-1} \| \text{-----}(4) \end{aligned}$$

Sub(2)and (4) in 1, we get

$$\begin{aligned} \| x_n^{-1} - x_0^{-1} \| &\leq \| x_n^{-1} \| \| x_0 - x_n \| \| x_0^{-1} \| \\ &\leq 2 \| x_0^{-1} \| \frac{1}{2\|x_0^{-1}\|} \end{aligned}$$

AS $n \rightarrow \infty, \| x_n - x_0 \| \rightarrow 0$.

So $\| x_n^{-1} - x_0^{-1} \| \rightarrow 0$

That is, $x_n^{-1} \rightarrow x_0^{-1}$

Therefore mapping $x \rightarrow x^{-1}$ of G into G is continuous and is therefore a homomorphism of G onto itself.