

FUNCTIONAL ANALYSIS

UNIT-IV (P16MA41)

II M.Sc. Mathematics

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Outline

- 1 General Preliminaries on Banach Algebra:
- 2 The radical and semi-simplicity:
- 3 Old Question Papers

General Preliminaries on Banach Algebra:

Banach Algebra:

Banach Algebra is a complex banach space which is also an algebra with identity 1 and in which the multiplicative structure is relative to the norm by

$$(i) \quad \|xy\| \leq \|x\| \|y\|$$

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Theorem 1

Every element x for which $\|x - 1\| < 1$ is regular and the inverse of such element is given by

$$x^{-1} = 1 + \sum_{n=1}^{\infty} (1 - x)^n$$

Proof:

Let $r = \|x - 1\|$, So that $r < 1$.

Then, $\|(1 - x)^n\| \leq \|1 - x\|^n \leq r^n$

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Let $S_n = (1 - x) + (1 - x)^2 + \dots + (1 - x)^n$.

For $n > m$,


$$\begin{aligned}\|S_n - S_m\| &= \|(1-x)^{m+1} + (1-x)^{m+2} \\ &\quad + \dots + (1-x)^n\| \\ &\leq \|(1-x)^{m+1}\| + \|(1-x)^{m+2}\| \\ &\quad + \dots + \|(1-x)^n\|\end{aligned}$$

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Proof:

Let x_0 be an element in G and let x be any element in A .

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The mapping $x \rightarrow x^{-1}$ of G into G is continuous and is therefore a homeomorphism of G onto itself.

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☞ Let $f : G \rightarrow G$ be given by $f(x) = x^{-1} \forall x \in G$.

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Proof:

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The set of all topological divisor of zero Z is a subset of the set S of all singular element in A or Z is a subset of S .

Proof:

Let $z \in Z$ and $\{z_n\}$ be a sequence in A such that $\|z_n\| = 1$ and $zz_n \rightarrow 0$.

To prove: $z \in S$

Suppose that $z \notin S$

Implies $z \in G$ [G is the complement of S]

By jointly continuous on multiplication

$$z^{-1}(zz_n) \rightarrow z^{-1}(0)$$

$$\therefore z_n \rightarrow 0$$

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The boundary of S is a subset of Z .

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Since, S is closed, its boundary consists of all points in S which are the limits of convergent sequences in G .

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Now,

$$r_n^{-1}z - 1 = r_n^{-1}(z - r_n) \quad (3)$$

 Suppose $\{r_n^{-1}\}$ is bounded.

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Spectrum

Let A be a Banach algebra and $x \in A$. Then the spectrum of x is defined to be the following subsets of a complex plane.

$$\sigma(x) = \{ \lambda \mid (x - \lambda) \text{ is singular} \}$$

Note



-  $\lambda \rightarrow x - \lambda \cdot 1$ is a continuous function of λ .
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$\sigma(x)$ is a subset of the closed disc $\{z/|z| \leq \|x\|\}$

Proof:

Let λ be a complex such that $|\lambda| > \|x\|$.

then, $\|\frac{x}{\lambda}\| < 1$

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Resolvent Equation:

If λ and μ are both in $\rho(x)$ then,

$$\begin{aligned}x(\lambda) &= x(\lambda)[x - \mu.1]x(\mu) \\ &= x(\lambda)[x - \lambda.1 + (\lambda - \mu).1]x(\mu) \\ &= [1 + (\lambda - \mu)x(\lambda)]x(\mu)\end{aligned}$$

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Theorem 8

$\sigma(x)$ is non-empty.

Proof:

Let f be a functional on A .

(ie) An element of the conjugate space A^* and define $f(\lambda)$ by

$$f(\lambda) = f(x(\lambda)) \quad (4)$$

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 By Liouville's theorem,

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Consider $|f(\lambda)| = ||f(x(\lambda))||$

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-  Suppose that $\sigma(x)$ is empty.
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Spectral radius of x :



The number $r(x)$ defined by $r(x) = \sup\{|\lambda|/\lambda \in \sigma(x)\}$ is called the spectral radius of x .

Note that $0 \leq r(x) \leq \|x\|$.

Theorem 9

If A is a division algebra then it equals the set of all scalar multiples of the identity.




Proof:

-  If $x \in A$ then $x = \lambda.1$ for some scalar λ
-  Suppose that $x \neq \lambda.1 \forall \lambda$

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$$\{\lambda.1/\lambda \in C\} \subset A \quad (7)$$

❁ Which is a contradiction to $\sigma(x)$ is non-empty.

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Theorem 10

If 0 is the only topological divisor of zero in A then $A = \mathbb{C}$.

Proof:



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 $\therefore f^{-1}(U)$ is neighbourhood of A . $f^{-1}(U)$ intersect both $\sigma(x)$ and $\rho(x)$.


 Implies $\mu \in \sigma(x) \cap f^{-1}(U)$ Implies $f(\mu) = x - \mu.1 \in S \cap U$


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Theorem 11

If the norm in A satisfies the inequality $\|x\| \geq k\|x\|\|y\|$ for some positive constant k .
Then $A = C$.




Proof:

-  Let z be the topological divisor of zero in A .
-  Suppose $z \neq 0$, there exist a sequence $\{z_n\}$ in A such that $zz_n \rightarrow 0$ and $\|z\| = 1$.

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Theorem 12

If A^1 is a Banach subalgebra of a Banach algebra A then the spectra of an element x in A with respect to A and A^1 are related as follows

- i $\sigma_{A^1}(x) \subseteq \sigma_A(x)$.
- ii Each boundary point of $\sigma_A(x)$ is also a boundary point of $\sigma_{A^1}(x)$.

Proof:



Let $\lambda \in \sigma_{A^1}(x)$ implies $x - \lambda \cdot 1$ is singular in A^1 .

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Let λ be a boundary point of $\sigma_A(x)$.


To prove: Let λ be a boundary point of $\sigma_{A^1}(x)$.


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Lemma 1: The Formula for the Spectral Radius

$$\sigma(x^n) = (\sigma(x))^n$$

Proof:



Let λ be a non-zero complex numbers.



Let $\lambda_1, \lambda_2, \dots, \lambda_n$ be its distinct n roots.

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There fore,

$$x^n - \lambda.1 = (x - \lambda_1.1), (x - \lambda_2.1), \dots, (x - \lambda_n.1) \quad (9)$$



Let $\lambda \in \sigma(x^n)$. $\therefore x^n - \lambda$ is singular.



If all the factors on the *R.H.S* of (9) are regular then the product is regular atleast $(x - \lambda_i.1)$ is singular for some i .

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$$(\sigma(x))^n \subset \sigma(x^n) \quad (11)$$

From (10) and (11) we get,

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Theorem 13

$$r(x) = \lim ||x^n||^{\frac{1}{n}}$$

Proof:

The formula for the spectral radius is

$$r(x) = \sup\{|\lambda|/\lambda \in \sigma(x)\}$$

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$$[r(x)]^n = r(x^n)$$

Now, $0 \leq r(x) \leq \|x\|$ Implies $r(x^n) \leq \|x^n\|$

$$r(x) \leq \|x^n\|^{\frac{1}{n}} \forall n \quad (12)$$

To prove: If a is any real number such that $r(x) < a$ then $\|x^n\|^{\frac{1}{n}} \leq a$ for all but a finite number of n 's

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Then, $x(\lambda) = (x - \lambda.1)^{-1} = \lambda^{-1}\left(\frac{x}{\lambda} - 1\right)^{-1}$

$$x(\lambda) = -\lambda^{-1}\left[1 + \sum_{n=1}^{\infty} \frac{x^n}{\lambda^n}\right] \quad (13)$$

If f is any functional on A , then (13) gives

$$f(x(\lambda)) = -\lambda^{-1}\left[f(1) + \sum_{n=1}^{\infty} f\left(\frac{x^n}{\lambda^n}\right)\right]$$

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- ☞ Since this true for every f in A^* and the elements $\frac{x^n}{\alpha^n}$ form a bounded sequence in A .
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- ☞ $\|x^n\|^{\frac{1}{n}} \leq \alpha k^{\frac{1}{n}}$ for some positive constant k and every n .

$$\|x^n\|^{\frac{1}{n}} \leq a \quad (15)$$

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From (12) and (15), we have,

$$r(x) = \lim_{n \rightarrow \infty} \|x^n\|^{\frac{1}{n}} \leq a \forall n \geq m$$
 where a is any real number such that $r(x) < a$.

$$\therefore r(x) = \lim_{n \rightarrow \infty} \|x^n\|^{\frac{1}{n}}$$

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Regular and Singular

-  An element x in A is regular if there exist an element y such that $xy = yx = 1$.
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If r is an element of R . Then $1 - r$ is left regular.

Proof

- Let $1 - r$ be left singular.
- So that $L = A(1 - r) = \{x - xr/x \in A\}$ is a proper left ideal which contain $1 - r$

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If r is an element of R . Then $1 - xr$ is regular for every x .


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Lemma 5

If r is an element of A with the property that $1 - xr$ is regular for every x . Then r is in R .

Proof



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Let α, β be scalars,

Let $m_1 + xr, m_2 + yr \in M + Ar$ where
 $m_1, m_2 \in M$ and $x, y \in A$.

Hence, $M + Ar$ is a linear subspace of A .



Let $a \in A, m + xr \in M + Ar$



Implies $a(m + xr) = am + (ax)r \in M + Ar$

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From (16) and (17), $M + Ar$ contains both M and r





Since, M is a maximal left ideal and $r \notin M$, $M + Ar = A, 1 \in A$


-  From (16) and (17), $M + Ar$ contains both M and r
-  Since, M is a maximal left ideal and $r \notin M$, $M + Ar = A$, $1 \in A$
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-  $1 = m + xr$ for some $m \in M$, $x \in A$.
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-  Implies $m^{-1} \in A$ then $1 = m^{-1}m \in M$

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Lemma 6

If $1 - xr$ is regular then $1 - rx$ is also regular.

Proof

 Assume that $1 - xr$ is regular with inverse
 $S = (1 - xr)^{-1}$

 $(1 - xr)S = S(1 - xr) = 1$

$$S - xrS = S - Sxr = 1 \quad (18)$$

Lemma 6


If $1 - xr$ is regular then $1 - rx$ is also regular.

Proof


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 Consider, $(1 - rx)(1 + rsx) = 1 - rx + rx$


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 Similarly, $(1 + rsx)(1 - rx) = 1 - rx + rx$

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❁ $\therefore (1 + rsx)(1 - rx) = 1$


❁ Hence, $(1 - rx)$ is regular with its inverse $(1 + rsx)$.

Theorem 14

The radical R of A equals each of the four sets in $\cap MLI = \{r/1 - xr\}$ is regular for every x and $\cap MRI = \{r/1 - rx\}$ is regular for x is a proper two sided ideal.

Proof


 If $x \in R$ then $1 - xr$ is regular $\forall x$ [By lemma-4]


 Implies $\left\{\frac{r}{1 - xr}\right\}$ is regular = $\{r/x \in R\}$ [By lemma-5]

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Therefore,

$$R = \cap MLI \quad (19)$$

Similarly,

$$R = \cap MRI \quad (20)$$

 From (19) and (20),


$$\langle \img alt="hand icon" data-bbox="75 650 120 695"/> \cap MLI = R = \cap MRI$$


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Semi-simple

A is said to be semi-simple if its radical equals the zero ideal $\{0\}$, that is if each non-zero element of A is outside of some maximal left ideal.

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Old Question Paper

SECTION C — (3 × 10 = 30)

Answer any THREE questions.

16. Let N and N' be normed linear spaces and T a linear transformation of N into N' . Prove that the following conditions on T are all equivalent to one another.
- T is continuous.
 - T is continuous at the origin, in the sense that $x_n \rightarrow 0 \Rightarrow T(x_n) \rightarrow 0$.
 - There exists a real number $K \geq 0$ with the property that $|T(x)| \leq K|x|$ for every $x \in N$;
 - If $S = \{x : |x| \leq 1\}$ is the closed unit sphere in N , then its image, $T(S)$ is a bounded set in N' .
17. (a) Prove that a closed convex subset C of a Hilbert space H contains a unique vector of smallest norm.
 (b) Prove that an operator T on H is unitary \Leftrightarrow it is an isometric isomorphism of H onto itself.
18. State and prove spectral theorem.
19. (a) If I is a proper closed two sided ideal in A , then prove that the quotient algebra A/I is a Banach algebra.
 (b) Prove that $\sigma(x)$ is non-empty.
20. State and prove Gelfand-Nejmark theorem.

S.No. 7534

P 16 MA 41

(For candidates admitted from 2016-2017 onwards)

M.Sc. DEGREE EXAMINATION, APRIL 2018.

Mathematics

FUNCTIONAL ANALYSIS

Time : Three hours

Maximum : 75 marks

SECTION A — (10 × 2 = 20)

Answer ALL questions.

- Define linear transformation.
- State Hahn-Banach theorem.
- Define orthonormal set in Hilbert space H .
- If A_1 and A_2 are self-adjoint operators on H and $A_1 A_2 = A_2 A_1$, then prove that $A_1 A_2$ is self-adjoint.
- If T is normal, then prove that M_i 's are pairwise orthogonal.
- Define standard Kronecker delta.
- Define Banach algebra.

Old Question Paper

8. Prove that Z (the set of all topological divisors of zero) is a subset of S .
9. Define multiplicative functional.
10. If $\|x^2\| = \|x\|^2$ for every x on arbitrary commutative Banach algebra A , then prove that $r(x) = \|x\|$ every x .

SECTION B — (5 × 5 = 25)

Answer ALL questions, choosing either (a) or (b).

11. (a) If R is a commutative ring with identity, then prove that R is a field \Leftrightarrow it has no non-trivial ideals.
- Or
- (b) If N is a normal linear space, then prove that the closed unit sphere S^* in N^* is a compact Hausdorff space in the weak* topology.
12. (a) If M is a closed linear subspace of a Hilbert space H , then prove that $H = M \oplus M^\perp$.
- Or
- (b) State and prove Bessel's inequality.

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13. (a) Prove that two matrices in A_n are similar \Leftrightarrow they are the matrices of a single operator on H relative to (possibly) different bases.

Or

- (b) Show that there exists a unique positive operator A on H such that $A^2 = T$.
14. (a) If $1 - rx$ is regular, then prove that $1 - rx$ is regular.

Or

- (b) Prove that the boundary of S is a subset of Z .
15. (a) Prove that $M \rightarrow f_n$ is a one-to-one mapping of the set \mathcal{M} of all maximal ideals in A into the set of all its multiplicative functions.

Or

- (b) If A is self-adjoint, then prove that \hat{A} is dense in $\mathcal{C}(\mathcal{M})$.

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THANK YOU